

APR 01 2002  
 Inventor(s): SHIBATA  
 Appl. No. 09  
 Filed: January 28, 2000  
 Hon. Commissioner of Patents  
 Washington, D.C. 20231

493,819  
 Serial No.

APR - 3 2002

TC 2800 MAIL ROOM

Group Art Unit 2814  
 Examiner: Wille, Douglas A.  
 Atty. Dkt. P 266204 T36-119817M/KOH  
 M# Client Ref  
 Appln. Title: GROUP III NITRIDE COMPOUND  
 SEMICONDUCTOR DEVICE

Sir:

**REPLY/AMENDMENT/LETTER**

Date: April 1, 2002

This is a reply/amendment/letter in the above-identified application and includes the herewith attachment of same date and subject which is incorporated hereinto by reference and the signature below is treated as the signature to the attachment in absence of a signature thereto.

**FEE REQUIREMENTS FOR CLAIMS AS AMENDED**

## 1. Small Entity claim

- A. ☒ NOT made  
 B. ☐ Withdrawn  
 C. ☐ made herewith  
 D. ☐ made previously

For B & C  
 See **Required  
 Separate Paper**  
 (Pat-256)

Claims remaining after amendment	Highest number previously paid for	Present Extra	Large/Small Entity	Additional Fee	Fee Code Lg/Sm
2. Total Effective Claims	5	**minus 20	0	x \$18/\$9 = + \$0	103/203
3. Independent Claims	2	***minus 3	0	x \$84/\$42 = + \$0	102/202
4. If amendment enters proper multiple dependent claim(s) into this application for first time (leave blank if this is a reissue application) ..... add				+ \$280/\$140 = + \$0	104/204
5. Original due Date: February 26, 2002		<input type="checkbox"/> NONE			
6. Petition is hereby made to extend the original due date to cover the date this response is filed for which the requisite fee is attached		(1 mo) \$110/\$55 = (2 mos) \$400/\$200 = (3 mos) \$920/\$460 = (Usable only for ≤ 2mo.OA --- 4 mos) \$1,440/\$720= (Usable only for 30 day/1mo.OA --- 5 mos) \$1,960/\$980=	+ \$400		115/215 116/216 117/217 118/218 128/228
7. Enter any previous extension fee paid since above original due date and subtract			- \$0		
8. Extension Fee Attached			+ \$400		
9. If Terminal Disclaimer attached, add Rule 20(d) official fee			+ \$110/\$55	+ \$0	148/248
10. If IDS attached requires Official Fee under Rule 97 (c), or if Rule 97(d) Request			+ \$180	+ \$0	126
11. After-Final Request Fee per rules 129(a) and 17(r)			+ \$740/370	+ \$0	146/246
12. No. of additional inventions for examination per Rule 129(b)			x \$740/370 ea	+ \$0	149/249
13. Request for Continued Examination (RCE)			+ \$740/370	+ \$740	1179/1279
14. Petition fee for				+ \$0	
15. TOTAL FEE ENCLOSED =				\$1140	

15.

TOTAL FEE ENCLOSED = \$1140

16. \*If the entry in this space is less than entry in next space, the "Present Extra" result is "0".

17. \*\*If the "Highest number previously paid for" in this space is less than 20, write "20" in this space.

18. \*\*\*If the "Highest number previously paid for" in this space is less than 3, write "3" in this space.

Our Deposit Account No. 03-3975)

(Our Order No. 51273 266204

C#

M#

**CHARGE STATEMENT:** The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficiencies only) now or hereafter relative to this application and the resulting Official Document under Rule 20, or credit any overpayment, to our Accounting/Order Nos. shown above, for which purpose a duplicate copy of this sheet is attached.

This CHARGE STATEMENT does not authorize charge of the issue fee until/unless an issue fee transmittal sheet is filed.

04/02/2002 CHUYEN 00000048 09493819

Query: Is appeal deadline now? If so, file Notice of Appeals separately.

02 FC:116

400.00 DP Pillsbury Winthrop LLP

Intellectual Property Group

1600 Tysons Boulevard  
 McLean, VA 22102  
 Tel: (703) 905-2000

By Atty: John P. Darling

Sig:

Reg. No. 44,482

Fax: (703) 905-2500

Tel: (703) 905-2045

Atty/Sec: JPD/tmt

NOTE: File this cover sheet in duplicate with PTO receipt (PAT-103A) and attachments

APR 01 2002

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT  
APPLICATION

In re: PATENT APPLICATION of:

Inventor(s): SHIBATA

Appln. No.: 09 493,819

Series Code ↑ Serial No. ↑

Filed: January 28, 2000

Title: GROUP III NITRIDE COMPOUND  
SEMICONDUCTOR DEVICE

Group Art Unit 2814

Examiner: Wille, Douglas A.

Atty. Dkt. PM 266204

M#

T36-119817M/KOH

Client Ref

R  
R C E  
EDO NOT USE FOR PROVISIONAL,  
DIVISIONAL, CIP OR DESIGN  
APPLICATIONS, OR REEXAMINATION OF  
PATENTSHon. Commissioner of Patents  
Washington, D.C. 20231

Date: April 1, 2002

Sir:

**REQUEST FOR CONTINUED EXAMINATION (RCE) UNDER RULE 114**

Please continue the examination of this application.

**PREREQUISITES**This application was filed on/after June 8, 1995, is not abandoned, and no court action has been filed, or if filed, it has been terminated.An issue fee **has not been** paid (unless a petition under Rule 313(c)(2) is also being filed -- see item 4 below).**Prosecution has been closed as defined in Rule 114(b).****Reply to any outstanding action and Rule 17(e) filing fee must be enclosed**

This application is entitled under Rule 114 to withdrawal of any outstanding finality or of any allowance plus a new action by the Examiner. Consideration on the merits of each submission (e.g., IDS, Amendment, new arguments, new evidence, but not appeal/reply briefs themselves) filed herewith is respectfully requested.

Please consider the following before the next Official Action:

1. Please ☒ enter ☐ do not enter the Amendment filed February 26, 2002
2. ☐ The enclosed new Amendment
3. ☐ Consider the arguments in the appeal brief filed \_\_\_ and reply brief filed
4. ☐ The issue fee has been paid, but this RCE is based on Rule 313(c)(2). See enclosed petition.
5. ☐ The enclosed Information Disclosure Statement
 

☐ IDS Letter

☐ Cited Appln

☐ Foreign Search Report/OA

☐ PTO-1449

☐ Cited Documents
6. ☐ Please suspend action under Rule 103(c) for a period of \_\_\_ months (3 mos. Max) for which the required \$130 fee is enclosed
7. The required RCE filing fee of \$740/\$370 is attached (per PAT-120)

(Our Deposit Account No. 03-3975)

(Our Order No. 51273 / 266204 )

C#

M#

**NOTE: Enter Rule 17(e) filing fee on PAT-120 for it  
Cannot be deferred!****NO CLAIMS FEE REQUIRED****CHARGE STATEMENT:** The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficiencies only) now or hereafter relative to this application and the resulting Official Document under Rule 20, or credit any overpayment, to our Accounting/Order Nos. shown above, for which purpose a duplicate copy of this sheet is attached.**This CHARGE STATEMENT does not authorize charge of the issue fee until/unless an issue fee transmittal sheet is filed.**

Pillsbury Winthrop LLP

04/02/2002 CNGUYEN 00000048 09493819

Intellectual Property Group

01 FC:179

740.00 0P

1600 Tysons Boulevard  
McLean, VA 22102

By Atty: John P. Darling

Reg. No. 44,482

(703) 905-2000  
Atty/Sec: JPD/tmt

Sig:

Fax: (703) 905-2500  
Tel: (703) 905-2045**NOTE: File this Request (plus enclosures, if any) with cover sheet (PAT-120) in duplicate and with PTO receipt (PAT-103A)**

30275074\_1.DOC

PAT-252 10/01